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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number MAY 09/842,930

Filing Date TECH CENTER 25/2002/2900

First Named Inventor Paul H. Weigel et al.

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Total Number of Pages in This Submission

Attorney Docket Number 5820.603

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	SEE REMARKS	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application			
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
<input type="checkbox"/> Remarks 1. Transmittal Form PTO/SB/21 (1 page); 2. Fee Transmittal for FY 2001 PTO/SB/17 (1 page); 3. Information Disclosure Statement (1 page); 4. Information Disclosure Statement By Applicant (3 pages); 5. Cited Material 6. Post Card			

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Dunlap, Codding & Rogers, P.C., P.O. Box 16370, Oklahoma City, OK 73113.	Customer No. 30589
	Kathryn L. Hester, Ph.D., Reg. No. 46,768	
Signature		
Date	5-9-02	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EV 071845249 US in an envelope addressed to the address below on this date:

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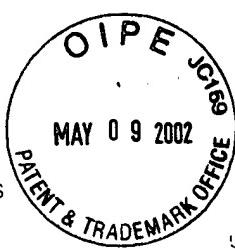
Typed or printed name Kathryn L. Hester, Ph.D.

Signature

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# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT	(\$)	180
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Complete if known  
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METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <table border="1"> <tr> <td>Deposit Account Number</td> <td>04-1700</td> </tr> <tr> <td>Deposit Account Name</td> <td>DUNLAP, CODDING &amp; ROGERS, P.C. CUSTOMER NUMBER 30589</td> </tr> </table> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>								Deposit Account Number	04-1700	Deposit Account Name	DUNLAP, CODDING & ROGERS, P.C. CUSTOMER NUMBER 30589																																																																																																																																																		
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<p>2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code (\$)</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td>SUBTOTAL (3) (\$)</td></tr> </tbody> </table>				Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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<p>*or number previously paid, if greater; For Reissues, see above</p>				<p>*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$)</p>																																																																																																																																																									

SUBMITTED BY

Name (Print/Type)	Kathryn L. Hester, Ph.D.	Registration No. (Attorney/Agent)	46,768	Telephone	(405) 478-5344
Signature				Date	S-9-02

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DATE DEPOSITED: MAY 9, 2002



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Applicant: Paul H. Weigel et al. ) Atty. Dkt. No.: 5820.603  
Serial No.: 09/842,930 ) MAY 16 2002  
Filed: April 25, 2001 )  
For: IDENTIFICATION AND USES )  
OF A HYALURONAN RECEPTOR )  
FOR ENDOCYTOSIS )

Box IDS  
Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This  
Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1.  Preliminary Statements
2.  FORM PTO/SB/08A AND 08B (formerly Form PTO-1449)
3.  Copies of Listed Information Items Accompanying this Statement
4.  Identification of Person(s) Making this Information Disclosure Statement

05/16/2002 MEBREM1 0000001 041700 09842930

01 FC:126 180.00 CH

## **Section 1.        Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## **Section 2.        Form PTO-1449 (Modified)**

- A completed Form PTO/SB/08A and/or 08B is attached hereto.
- No Form PTO/SB/08A and/or 08B is attached.

## **Section 3.        Copies of Listed Information Items Accompanying this Statement**

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

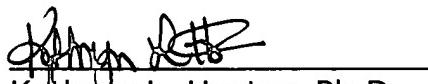
- Exception(s) to above:
  - Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.
  - Cumulative patents or publications identified in Section 5.

**Section 4. Identification of Person(s) Making this INFORMATION  
DISCLOSURE STATEMENT**

The person making this statement is the attorney who signs below on the basis of the information:

- supplied by the inventor(s)
- supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- in the attorney/agent's file

Respectfully submitted,



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Agent for Applicants